

IFW

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) of 1.97(c))			Docket No. 1931-SPL
In Re Application Of: Carleton S. Hayek and W. Reid Thompson			
Serial No. 10/808,196	Filing Date 3/24/04	Examiner	Group Art Unit 3762
Title: <b>SYSTEM AND METHOD FOR DIAGNOSING PATHOLOGIC HEART CONDITIONS</b>			
<div style="text-align: center;"> <p>Address to:</p> <p><b>Commissioner for Patents</b>  <b>P.O. Box 1450</b>  <b>Alexandria, VA 22313-1450</b></p> </div> <div style="text-align: center; margin-top: 10px;"> <p><b>37 CFR 1.97(b)</b></p> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p><b>37 CFR 1.97(c)</b></p> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin-top: 10px;"><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div>			

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1931-SPL

In Re Application:

Carleton S. Hayek and W. Reid Thompson

Serial No.

10/808,196

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Examiner

Group Art Unit

3762

**SYSTEM AND METHOD FOR DIAGNOSING PATHOLOGIC HEART CONDITIONS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **01-2218** as described below.
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Beth A. Hicks

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Francis A. Cooch  
Signature

Dated: June 22, 2004

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

1931-SPL

Application Number

10/808,196

Applicant(s)

Carleton S. Hayek and W. Reid Thompson

Filing Date

3/24/04

Group Art Unit

3762

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	4,428,381	1/31/84	Hepp			
	B	4,458,693	7/10/84	Badzinski et al.			
	C	5,012,815	5/7/91	Bennett, Jr. et al.			
	D	5,301,679	4/12/94	Taylor			
	E	5,687,738	11/18/97	Shapiro et al.			
	F	5,957,866	9/28/99	Shapiro et al.			
	G	6,05,0950	4/18/00	Mohler			
	H	6,478,744	11/12/02	Mohler			
	I	6,572,560	6/3/03	Watrous et al.			
	J	6,629,937	10/7/03	Watrous			
	K	US2002/0052559	5/2/02	Watrous			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	L	WO 99/52436	10/21/99	WIPO				
	M	WO 02/096293	12/5/02	WIPO				


## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	N	"Automatic Detection of Sounds and Murmurs in Patients with Ionescu-Shiley Aortic Bioprostheses", Baranek, H.L., et al., Medical and Biological Engineering Computing, Sept. 1989, pp. 449-455

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	Docket Number (Optional) <b>1931-SPL</b>	Application Number <b>10/808,196</b>
	Applicant(s) <b>Carleton S. Hayek and W. Reid Thompson</b>	
	Filing Date <b>3/24/04</b>	Group Art Unit <b>3762</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	o	US 2003/0093003	5/15/03	Watrous et al.			

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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